



PATENT  
0505-4013

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Christopher KEITH

Filed: March 8, 2001

Art Unit: 2165 Examiner:

Serial No.: 09/802,005 Confirmation No.: 7701

Title: AUTOMATED TRADING NEGOTIATION PROTOCOLS

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INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

This Information Disclosure Statement is filed in accordance with 37 C.F.R. §§ 1.56, 1.97 and 1.98. The items listed on Form PTO 1449, a copy of which is enclosed, may be pertinent to the above-referenced application and are made of record to assist the Patent Office in its examination of this application. The Examiner is requested to fully consider the items and independently ascertain their teaching.

No fee is due since this Information Disclosure Statement is being filed

[x] before the mailing date of a first Office Action on the merits.

Respectfully submitted,

Date: September 14, 2001

260 West 52 St. Ste. 27B  
New York, NY 10019  
voice/fax 212 245-3940

Brenda Pomerance

Brenda Pomerance  
Reg. No. 36,894



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CERTIFICATE OF MAILING

I hereby certify that the following attached paper(s) and/or fee

1. Information Disclosure Statement
2. PTO Form 1449
3. Copies of 37 references
4. Return receipt postcard

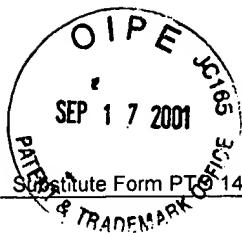
are being deposited with the United States Postal Service with sufficient postage as first class mail under 37 C.F.R. § 1.8 on the date indicated below in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231.

Date: September 14, 2001

*Brenda Pomerance*  
Brenda Pomerance

CORRESPONDENCE ADDRESS:

Brenda Pomerance  
260 West 52 St. Ste. 27B  
New York, NY 10019  
212 245-3940



Substitute Form PTS-1449

### INFORMATION DISCLOSURE STATEMENT

Sheet 1 of 2

Application No.	09/802,005
Filing Date	March 8, 2001
Inventor	Christopher Keith
Group Art Unit	2165
Examiner	TBA
Atty. Docket No.	0505-4013

U.S. PATENT DOCUMENTS					
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Examiner Signature	Date Considered
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Sheet 2 of 2		Atty. Docket No.	0505-4013

**OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS**

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Examiner Signature	Date Considered	
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